

# FORM TR-1 BE PART I

1) Status of the notification

Final

2) Issuer

Name **BIOCARTIS**  
Identification number **0505-640-808**

3) Reason for the notification

Holding of voting securities upon first admission to trading

+

4) Notification by

A person that notifies alone

+

5) Persons subject to the notification requirement

Name (& legal form for legal persons)	Address (for legal persons)
Topbio1 LP	c/o Vallance Advisors LLP, 13 Hanover Square, London, W1S 1HN

+

6) Persons that dispose of, ... voting rights (Only to be filled in if art. 7 of the Law applies)

Name (& legal form for legal persons)	Address (for legal persons)

+

Please continue entering the information in part II concerning the persons referred to in points 5 and 6

Click

7) Date on which the threshold is crossed

27/04/2016 (DD/MM/YYYY)

8) Threshold that is crossed (in %)

3

If the holding has fallen below the lowest threshold, you have the option of not entering any numbers under point 10

9) Denominator

39,144,013

Please enter the denominator before filling in the data

10) Notified details

A) Voting rights	Previous notification	After the transaction			
	# of voting rights	# of voting rights		% of voting rights	
Holders of voting rights		Linked to securities	Not linked to the securities	Linked to securities	Not linked to the securities
Topbio 1 LP		1,804,644		4.61%	
<b>TOTAL</b>		<b>1,804,644</b>	<b>0</b>	<b>4.61%</b>	<b>0.00%</b>

Start with "groups" of holders. Calculate subtotals and then finish with the persons who are "alone".  
 The totals, subtotals and % will be updated once you have clicked on <CALCULATE>

B) Equivalent financial instruments	After the transaction				
Holders of equivalent financial instruments	Type of financial instrument	Expiration date	Exercise period or date	# of voting rights that may be acquired if the instrument is exercised	% of voting rights
<b>TOTAL</b>		(In relation to all expiration dates)		<b>0</b>	<b>0.00%</b>

The totals will be updated once you have clicked on <CALCULATE>

TOTAL (Voting rights & Equivalent financial instruments)	# of voting rights	% of voting rights
<b>CALCULATE</b>	<b>1,804,644</b>	<b>4.61%</b>

11) Chain of controlled undertakings through which the holding is effectively held, if applicable

Please describe, or join a diagram in attachment

Topbio 1 LP is not a controlled entity.

12) In case of proxy voting for only one GM

Holder  will cease to hold / will hold again  0 voting rights as of

13) Additional information

A) Convertible bonds and rights to subscribe to voting securities not yet issued					
Holder	Type of financial instrument	Expiration date (DD/MM/YYYY)	Exercise/Conversion period or date	Number	# of voting rights that may be acquired if the instrument is exercised/converted

B) Shares without voting rights	
Holder	Number

C) Remarks

This transparency notification is made to the extent required in accordance with applicable law.

Done at 1st Floor, Tudor House, Le Bodge, St Peter Port, Guernsey

On 1/06/2015 (DD/MM/YYYY)

Name & capacity Richard Bray, Director of Vallance Asset Management General Partner Limited as Partner of Topko1 LP

Signature



# FORM TR-1 BE

## PART II

### Persons subject to the notification requirement

<u>Name</u> ( <u>&amp; legal form for legal persons</u> )	<u>Address</u> ( <u>registered office for legal persons</u> )	<u>Phone number</u>	<u>E-mail</u>	<u>Contact person for legal persons</u>
Topbio1 LP (Cayman LP)	Citco Fund Services (Cayman Islands) Limited, 89 Nexus Way, 2nd Floor, Camana Bay, PO Box 31106, Grand Cayman KY1-1205, Cayman Islands	+44 (0)20 7399 1773	<a href="mailto:fund_operations@valiance-am.com">fund_operations@valiance-am.com</a>	Legal Counsel

### Mandatory

<u>Name</u> ( <u>&amp; legal form for legal persons</u> )	<u>Address</u> ( <u>registered office for legal persons</u> )	<u>Phone number</u>	<u>E-mail</u>	<u>Contact person for legal persons</u>
Topbio1 LP (Cayman LP)	Citco Fund Services (Cayman Islands) Limited, 89 Nexus Way, 2nd Floor, Camana Bay, PO Box 31106, Grand Cayman KY1-1205, Cayman Islands	+44 (0)20 7399 1773	<a href="mailto:fund_operations@valiance-am.com">fund_operations@valiance-am.com</a>	Legal Counsel

### Invoicing data

<u>Name</u> ( <u>&amp; legal form for legal persons</u> )	<u>Address</u> ( <u>registered office for legal persons</u> )	<u>Phone number</u>	<u>E-mail</u>	<u>Contact person for legal persons</u>
Topbio1 LP (Cayman LP)	c/o Valiance Advisors LLP, 13 Hanover Square, London, W1S 1HN	+44 (0)20 7399 1773	<a href="mailto:accounts@valiance-am.com">accounts@valiance-am.com</a>	Accounts

The personal data provided via this form will be processed by the FSMA (Congresstraat/rue du Congrès 12-14, 1000 Brussels) in accordance with the Law of 8 December 1992 on the protection of privacy as regards the processing of personal data. The FSMA processes the data in order to supervise compliance with Title II of the Law of 2 May 2007 and its implementing decrees. The processed data may, if appropriate, be communicated to third parties on the conditions referred to in Articles 74 and 75 of the Law of 2 August 2002 on the supervision of the financial sector and on financial services. Insofar as the processed data do not fall under the professional secrecy obligation of the FSMA, the natural persons whose data are being processed have the right to inspect and correct such data in accordance with Articles 10 and 12 of the aforementioned Law of 8 December 1992.