**FSMA**

FINANCIAL SERVICES AND
MARKETS AUTHORITY

**Transparency notification**
Form TR-1 BE
PART I

### 1) Status of the notification

| Final |
|---|

### 2) Issuer

| Name | BIOCARTIS |
|---|---|
| Identification number | 0505-640-808 |

### 3) Reason for the notification

| Acquisition or disposal of voting securities or voting rights | + |
|---|---|

### 4) Notification by

| A person that notifies alone | + |
|---|---|

### 5) Persons subject to the notification requirement

| Name | Address (for legal entities) | |
|---|---|---|
| OppenheimerFunds, Inc. | The Corporation Company / 1675 Broadway / Suite 1200, CO 80202 Denver, USA | + |

### 6) Persons that dispose of voting rights (only to be filled in if art. 7 of the Law applies)

| Name | Address (for legal entities) | |
|---|---|---|
| | | + |

Please continue entering the information in *part II* concerning the persons referred to in Sections 5 and 6    **Part II**

### 7) Date on which the threshold is crossed

| 13/05/2019 | (DD/MM/YYYY) |
|---|---|

### 8) Threshold that is crossed (in %)

| 10 |
|---|

If the holding has fallen below the lowest threshold, you have the option of not entering any numbers in Section 10

### 9) Denominator

| 56,382,088 |
|---|

Please enter the denominator before filling in the data

### 10) Notified details

| A) Voting rights | Previous notification | After the transaction | | | | |
|---|---|---|---|---|---|---|
| | # of voting rights | # of voting rights | | % of voting rights | | |
| Holders of voting rights | | Linked to securities | Not linked to the securities | Linked to securities | Not linked to the securities | |
| OppenheimerFunds, Inc. | 2,600,000 | 5,649,788 | | 10.02% | | + |
| TOTAL | | 5,649,788 | 0 | 10.02% | 0.00% | |

Start with "groups" of holders. Add subtotals with $\Sigma$, and then finish with the persons who are "alone".
For groups, start with the ultimate controlling natural person or legal entity.
The totals, subtotals and % will be updated once you have clicked on <*CALCULATE*>.

| B) Equivalent financial instruments | After the transaction | | | | | | |
|---|---|---|---|---|---|---|---|
| Holders of equivalent financial instruments | Type of financial instrument | Expiration date | Exercise period or date | # of voting rights that may be acquired if the instrument is exercised | % of voting rights | Settlement | |
| | | | | | | | + |
| | TOTAL | | | 0 | 0.00% | | |

🛈 *The totals* will be updated once you have clicked on <CALCULATE>

| TOTAL (A & B) | # of voting rights | % of voting rights |
|---|---|---|
| CALCULATE | 5,649,788 | 10.02% |

## 11) Full chain of controlled undertakings through which the holding is effectively held, if applicable

OppenheimerFunds, Inc. is not a controlled entity.

## 12) In case of proxy voting for only one GM

(DD/MM/YYYY)

Holder | will cease to hold / will hold again | 0 | voting rights as of | 

## 13) Additional information

OFI is an investment adviser registered with the U.S. Securities and Exchange Commission under the Investment Advisers Act of 1940 that provides discretionary investment management services to mutual funds and other accounts (OFI Clients). The shares of the Company are held by OFI on behalf of OFI Clients only for investment purposes. OFI holds all shares attributed to it solely in its capacity as investment adviser to OFI Clients. OFI has no economic interests (e.g. the right to dividends or proceeds from sale) in the Company's shares. OFI generally has the right to vote shares of portfolio companies held on behalf of OFI Clients.

Done at New York, New York

On 15/05/2019 (DD/MM/YYYY)

Name & capacity Stephen Volpe, VP - Compliance

Signature