

## Transparency notification Form TR-1 BE PART I

## 1) Status of the notification

Final

2) Issuer

Name BIOCARTIS
Identification number 0505-640-808

3) Reason for the notification

Acquisition or disposal of voting securities or voting rights

Downward crossing of the lowest threshold

4) Notification by

A parent undertaking or a controlling person

5) Persons subject to the notification requirement

Name	Address (for legal entities)
Debiopharm Innovation Fund S.A.	Chemin Messidor 5-7, 1006 Lausanne, Switzerland (formerly Rue de Levant 146, 1920 Martigny, Switzerland)
Après-Demain S.A. (formerly Après-Demain Holding S.A.)	Chemin Messidor 5-7, 1006 Lausanne, Switzerland
Thierry Mauvernay	Chemin du Praz-Buchilly 113, 1000 Lausanne 25, Switzerland

6) Persons that dispose of voting rights (only to be filled in if art. 7 of the Law applies)

Name	Address (for legal entities)				

Please continue entering the information in part II concerning the persons

Part II

7) Date on which the threshold is crossed

19.11.2020 (DD/MM/YYYY)

8) Threshold that is crossed (in %)

If the holding has fallen below the lowest threshold, you have the option of not entering any numbers in Section 10

9) Denominator

56 382 088

Please enter the denominator before filling in the data

## 10) Notified details

A) Voting rights  Holders of voting rights	# of voting rights	After the transaction					
		# of voting	% of voting rights				
		Linked to securities	Not linked to the securities	Linked to securities	Not linked to the securities		
Thierry Mauvernay	0	0		0.00%			
Après-Demain S.A. (formerly Après- Demain Holding S.A.)	0	0		0.00%			
Debiopharm Innovation Fund S.A.	2 750 304	1 662 963		2.95%			
Subtotal	2 750 304	1 662 963		2.95%			
TOTAL		1 662 963	0	2.95%	0.00%		

--+ Start with "groups" of holders. Add subtotals with  $\Sigma$ , and then finish with the persons who are "alone". For groups, start with the ultimate controlling natural person or legal entity. The totals, subtotals and % will be updated once you have clicked on <**CALCULATE**>.

B) Equivalent financial instruments	After the transaction						9.3
Holders of equivalent financial instruments	Type of financial instrument	Expiration date	Exercise period or date	# of voting rights that may be acquired if the instrument is exercised	% of voting rights	Settlement	
							+
	TOTAL	1	<u> </u>	0	0.00%		
0	The totals will be updated once you have clicked o	on <calculate></calculate>			•		
	TOTAL (A & B)	15		# of voting rights	% of voting rights	J. P. C., W. L. P.	
			CALCULATE	1 662 963	2.95%		
11) Full chain of controlled u	ndertakings through which the holding is	s effectively held, if app	olicable				
	Debiopharm Innovation Fund S.A. is controlled by Aprè Mauvernay	ès-Demain S.A. (formerly Aprè	s-Demain Holding S.A	.), which is controlled	d by Mr. Thierry		
12) In case of proxy voting fo						(DD/MM/YYYY)	1
Holder		will cease to hold / will hold again	0		voting rights as of		
13) Additional information							
Done at On	Lausanne, Switzerland 19.11.2020	(DD/MM/YYYY)					2
	Thierry Mauvernay, President and Delegate of the Boar Après-Demain S.A., President and Delegate of the Boar Debiopharm Innovation Fund S.A., President and Delega	ard	Signature Signature Signature	A Trus	www.		
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