

1) Status of the notification

Final

2) Issuer

Name **BIOCARTIS**
Identification number 0505-640-808

3) Reason for the notification

Acquisition or disposal of voting securities or voting rights
Downward crossing of the lowest threshold

4) Notification by

A parent undertaking or a controlling person

5) Persons subject to the notification requirement

Name	Address (for legal entities)
Heights Capital Management, Inc.	401 E City Ave, Bala Cynwyd, PA 19004 USA
CVI Investments, Inc	THE R&H TRUST CO. LTD, P.O. Box 897, Windward1, Regatta Office Park, West Bay Road, Grand Cayman, KY1 - 1103, Cayman Islands

6) Persons that dispose of voting rights (only to be filled in if art. 7 of the Law applies)

Name	Address (for legal entities)

i Please continue entering the information in **part II** concerning the persons referred to in Sections 5 and 6

Part II

7) Date on which the threshold is crossed

25/05/2023 (DD/MM/YYYY)

8) Threshold that is crossed (in %)

3

i If the holding has fallen below the lowest threshold, you have the option of not entering any numbers in Section 10

9) Denominator

93,469,933

i Please enter the denominator before filling in the data

10) Notified details

A) Voting rights	Previous notification	After the transaction	
	# of voting rights	# of voting rights	% of voting rights

Holders of voting rights		Linked to securities	Not linked to the securities	Linked to securities	Not linked to the securities
Heights Capital Management, Inc.					
CVI Investments, Inc					
Subtotal					
TOTAL					



i Start with "groups" of holders. Add subtotals with Σ , and then finish with the persons who are "alone".
 For groups, start with the ultimate controlling natural person or legal entity.
 The totals, subtotals and % will be updated once you have clicked on **<CALCULATE>**.

B) Equivalent financial instruments	After the transaction						
	Holders of equivalent financial instruments	Type of financial instrument	Expiration date	Exercise period or date	# of voting rights that may be acquired if the instrument is exercised	% of voting rights	Settlement
							+
TOTAL							

i The totals will be updated once you have clicked on <CALCULATE>

TOTAL (A & B)	# of voting rights	% of voting rights
CALCULATE		

11) Full chain of controlled undertakings through which the holding is effectively held, if applicable

Heights Capital Management, Inc. controls CVI Investments, Inc. Heights Capital Management Inc. is not controlled

12) In case of proxy voting for only one GM

Holder will cease to hold / will hold again 0 voting rights as of (DD/MM/YYYY)

13) Additional information

CVI Investments Inc. is the investment management company that exercises the voting rights at its discretion in the absence of specific instructions

Done at

On (DD/MM/YYYY)

Name & capacity

Signature 

Persons subject to the notification requirement

<u>Name</u>	<u>Address</u>	<u>Phone number</u>	<u>E-mail</u>	<u>Contact person (for legal entities)</u>
CVI Investments, Inc. c/o Heights	401 E City Ave, Bala Cynwyd, PA 19004, USA	16107472359	g1xcompliance@sig.com	Ryan Quinn

Mandatory

<u>Name</u>	<u>Address</u>	<u>Phone number</u>	<u>E-mail</u>	<u>Contact person (for legal entities)</u>

Invoicing data

<u>Name</u>	<u>Address</u>	<u>Phone number</u>	<u>E-mail</u>	<u>Contact person (for legal entities)</u>
CVI Investments, Inc. c/o Heights	Memorial Road, IFSC	353-1-802-8000	ppops@sig.com	David Bradley

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